

# Shifted quantum affine algebras and cluster algebras

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# Plan

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1. Motivations and overview of main results

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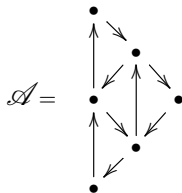
1. Motivations and overview of main results
2. Shifted quantum affine  $\mathfrak{sl}_2$

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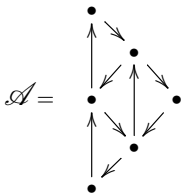
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3. General case

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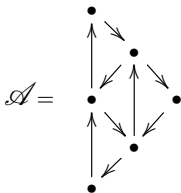


- Fomin-Zelevinsky :  $\mathcal{A} \cong \mathbb{C}[N]$ , where

$$N = \left\{ \begin{pmatrix} 1 & * & * & * \\ 0 & 1 & * & * \\ 0 & 0 & 1 & * \\ 0 & 0 & 0 & 1 \end{pmatrix} \in SL(4, \mathbb{C}) \right\}$$



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- Hernandez-L :  $\mathcal{A} \cong K_0(\mathcal{C}_Q)$ , where  
 $\mathcal{C}_Q$  is a subcategory of  $\mathcal{C} := \{\text{finite-dim. } U_q(\widehat{\mathfrak{sl}}_4)\text{-modules}\}$

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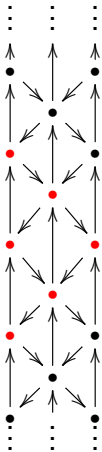
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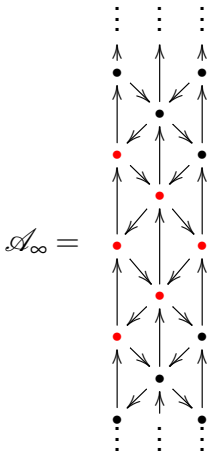
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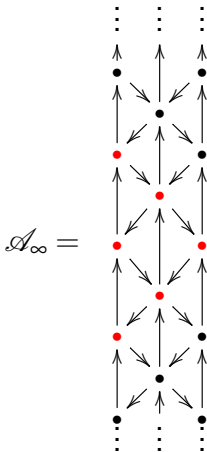
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**Q3:** What is the representation-theoretical meaning of the remaining ones ?

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- If  $\mathfrak{g} \neq \mathfrak{sl}_2$ , every one-step mutation has degree  $> 0$ , so it is **not** the  $q$ -character of an object in  $\mathcal{C}_\mathbb{Z}$ .

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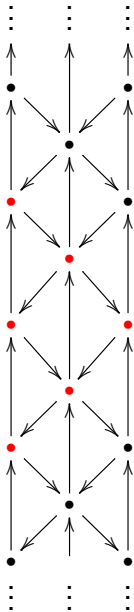
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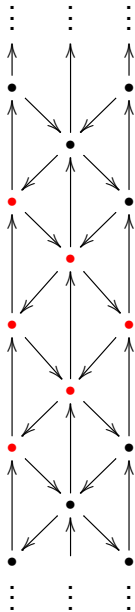
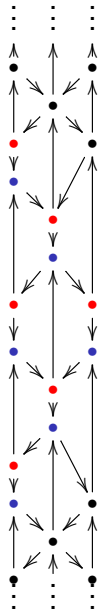
- $K_0(\mathcal{C}_{\mathbb{Z}}^{\text{sh}}) \cong \mathcal{A}_{\infty}$
- A cluster monomial  $m$  of  $\mathcal{A}_{\infty}$  is the  $q$ -character of a (simple) object in  $\mathcal{C}^\mu$  if and only if  $\mathbf{deg} m = \mu$ .

## Fourth question

**Q4:** What about infinite-dimensional modules and category  $\mathcal{O}_{\mathbb{Z}}^{\text{sh}}$  ?  
Can we find a cluster algebra structure on  $K_0(\mathcal{O}_{\mathbb{Z}}^{\text{sh}})$  ?

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There is an explicit injective homomorphism  $F : \mathcal{B}_\infty \rightarrow K_0(\mathcal{O}_{\mathbb{Z}}^{\text{sh}})$ ,  
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## Conjecture

For every cluster monomial  $m$  of  $\mathcal{B}_\infty$  with  $\mathbf{deg} m = \mu$ ,  $F(m)$  is the class a simple object in  $\mathcal{O}_{\mu}^{\text{sh}}$ .

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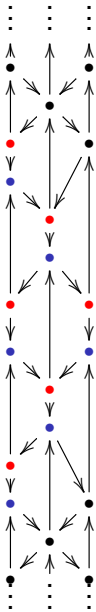
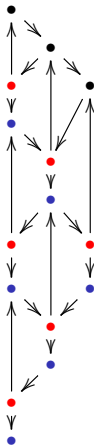
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## Theorem (Geiss-Hernandez-L 2023)

Let  $\mathfrak{g}$  be of type  $A, D, E$ .

$K_0(\mathcal{O}_{\mathbb{Z}}^{\text{sh}})$  contains a cluster subalgebra  $\mathcal{B}$  isomorphic to the coordinate ring of the open double Bruhat cell  $\mathbb{C}[\mathbf{G}^{w_0, w_0}]$ .



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generators:  $x_k, y_k$  ( $k \in \mathbb{Z}$ ),  $h_k$  ( $k \in \mathbb{Z} \setminus \{0\}$ ),  $K, \bar{K}$



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Classical limit  $q \rightarrow 1$ :

$$x_k \rightarrow x \otimes t^k, \quad y_k \rightarrow y \otimes t^k, \quad h_k \rightarrow h \otimes t^k,$$

$$\frac{K - \bar{K}}{q - q^{-1}} \rightarrow h \otimes 1, \quad \frac{\phi_a^+}{q - q^{-1}} \rightarrow h \otimes t^a, \quad \frac{\phi_b^-}{q - q^{-1}} \rightarrow h \otimes t^b$$

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- If  $m < 0$ ,  $U_q^m(\widehat{\mathfrak{sl}}_2)$  has **no** non-trivial finite-dim. representation

## Definition

A  $U_q^m(\widehat{\mathfrak{sl}}_2)$ -module  $V$  such that there is  $v \in V$  and  $\psi_k \in \mathbb{C}$  with

- $U_q^m(\widehat{\mathfrak{sl}}_2)v = V$
- $x_k v = 0 \quad (k \in \mathbb{Z})$
- $\phi_k^+ v = \psi_k v, \quad (k \in \mathbb{Z}_{\geq 0})$

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- Conversely, for each  $\Psi(z) \in \mathbb{C}(z)$  of degree  $m$ , regular at  $z = 0$ , there is a unique simple  $L(\Psi) \in \mathcal{O}^m$  with highest  $l$ -weight  $\Psi$ .

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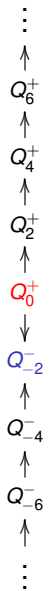
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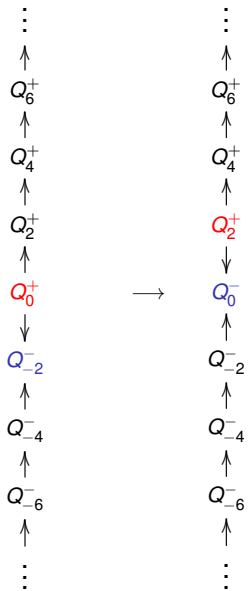
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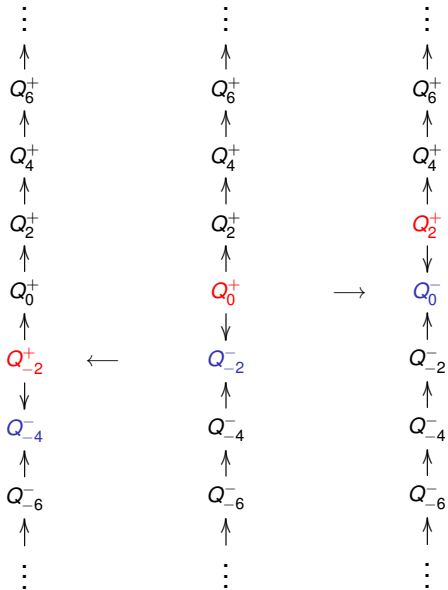
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where  $M_{w,i,a}$  is a monomial in the  $Q_{w(\varpi_j^\vee), b}$  for  $c_{ij} < 0$ .



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$$\Theta_w(\chi_q(V)) = \chi_q(V), \quad (w \in W).$$

## Definition

$$Q_{w(\bar{\omega}_i^\vee), a} := \Theta_w([\Psi_{i,a}]), \quad (w \in W, i \in I, a \in \mathbb{C}^*).$$

$Q_{w(\bar{\omega}_i^\vee), a}$  depends only on the chamber coweight  $w(\bar{\omega}_i^\vee)$  (and on  $a$ ).

## Theorem (Frenkel-Hernandez)

The  $Q_{w(\bar{\omega}_i^\vee), a}$  satisfy the  $Q\tilde{Q}$ -system: for  $ws_i > w$ ,

$$Q_{ws_i(\bar{\omega}_i^\vee), aq^{d_i}} Q_{w(\bar{\omega}_i^\vee), aq^{-d_i}} - Q_{ws_i(\bar{\omega}_i^\vee), aq^{-d_i}} Q_{w(\bar{\omega}_i^\vee), aq^{d_i}} = M_{w,i,a}$$

where  $M_{w,i,a}$  is a monomial in the  $Q_{w(\bar{\omega}_j^\vee), b}$  for  $c_{ij} < 0$ .

In type  $A, D, E$ , one has:  $M_{w,i,a} = \prod_{j:c_{ij}<0} Q_{w(\bar{\omega}_j^\vee), a}$ .

# Main result

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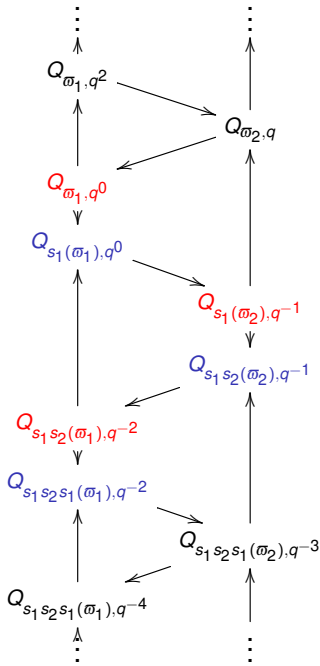
## Theorem (Geiss-Hernandez-L 2023)

- There is an injective ring homomorphism  $F: \mathcal{B}_\infty \rightarrow K_0(\mathcal{O}_{\mathbb{Z}}^{\text{sh}})$  such that  $\overline{F(\mathcal{B}_\infty)} = K_0(\mathcal{O}_{\mathbb{Z}}^{\text{sh}})$
- For every reduced decomposition  $w_0 = s_{i_1} \cdots s_{i_r}$  there is an explicit initial seed of  $\mathcal{B}_\infty$  with cluster variables of the form:

$$Q_{s_{i_1} \cdots s_{i_k}}(\overline{\omega_i^y}, q^n), \quad (0 \leq k \leq r, i \in I, n \in \mathbb{Z})$$

Type  $A_2$

$$W_0 = s_1 s_2 s_1$$



Type  $B_2$   
 $w_0 = s_1 s_2 s_1 s_2$

