

# Resolving dualities and applications to semi-derived Ringel-Hall algebras

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# Equivalences induced by tilting modules

This talk is based on a joint work with Hongxing Chen (Capital Normal University).

## **In the talk:**

$A$ : Artin algebra (e.g. finite-dim.  $k$ -algebra over a field  $k$ );

$A\text{-mod}$ : the category of finitely generated (left)  $A$ -modules;

$D$ : the usual duality over  $A\text{-mod}$  (e.g.  $D = \text{Hom}_k(-, k)$ ).

# Tilting modules over algebras

## Definition

A module  ${}_A T \in A\text{-mod}$  is called  *$n$ -tilting* ( $n \in \mathbb{N}$ ) if the following hold:

(T1)  $\text{proj.dim}({}_A T) \leq n$ ;

(T2)  $\text{Ext}_A^i(T, T) = 0 \quad \forall i \geq 1$ ;

(T3)  $\exists$  exact sequence  $0 \rightarrow A \rightarrow T_0 \rightarrow T_1 \rightarrow \cdots \rightarrow T_n \rightarrow 0$  in  $A\text{-mod}$  with  $T_i \in \text{add}(T)$  for all  $i \geq 0$ .

$T$  is also called a *tilting  $A$ - $B$ -bimodule* with  $B := \text{End}_A(T)$ .

# Equivalences induced by tilting modules

- Brenner-Butler tilting theorem [Brenner and Butler, 1980]
- one-to-one correspondence between basic tilting modules and contravariant finite resolving subcategories of modules with finite projective dimension [Auslander and Reiten, 1991]
- derived equivalences between the bounded derived category of an algebra and the one of the endomorphism algebra of a tilting module over the algebra [Happel, 1988]
- homological invariants or dimensions
- .....

How about “dualities” of categories induced by (tilting) modules?

# Dualities of categories

## Definition

Contravariant functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  between categories  $\mathcal{C}$  and  $\mathcal{D}$  are called *inverse dualities* if both  $G \circ F$  and  $F \circ G$  are isomorphic to the **identity** functors.

Natural conditions are added on  $F$  and  $G$  whenever  $\mathcal{C}$  and  $\mathcal{D}$  are endowed with more structures.

e.g. if  $\mathcal{C}$  and  $\mathcal{D}$  are (additive, exact, triangulated) categories, then  $F$  and  $G$  are required to be (additive, exact, triangulated) functors.

# Morita duality

$R, S$ : rings.

## Theorem (Morita)

Let  $\mathcal{C} \subseteq R\text{-Mod}$  and  $\mathcal{D} \subseteq S^{op}\text{-Mod}$  be full subcategories closed under isomorphisms. Suppose  ${}_R R \in \mathcal{C}$ ,  $S_S \in \mathcal{D}$ , and  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  are inverse dualities.

$\implies \exists$  faithfully balanced bimodule  ${}_R M_S$  s.t.

- (a)  $F \cong \text{Hom}_R(-, M)|_{\mathcal{C}}$  and  $G \cong \text{Hom}_{S^{op}}(-, M)|_{\mathcal{D}}$ ;
- (b)  $\mathcal{C} \subseteq \{X \in R\text{-Mod} \mid X \simeq \text{Hom}_{S^{op}}(\text{Hom}_R(X, M), M)\}$  and  $\mathcal{D} \subseteq \{Y \in S^{op}\text{-Mod} \mid Y \simeq \text{Hom}_R(\text{Hom}_{S^{op}}(Y, M), M)\}$ .

Moreover, the bimodule  $M$  defines a Morita duality  $\Leftrightarrow {}_R M$  and  $M_S$  are injective cogenerators.

Def:  ${}_R M_S$  is **faithfully balanced** if  $S \cong \text{End}_R(M)$  and  $R^{op} \cong \text{End}_{S^{op}}(M)$ .

# Modules of finite projective dimensions

$$\mathcal{P}^{<\infty}(A) := \{X \in A\text{-mod} \mid \text{proj.dim}({}_A X) < \infty\}.$$

For  $T \in A\text{-mod}$ , define

$${}^{\perp}({}_A T) := \{M \in A\text{-mod} \mid \text{Ext}_A^i(M, T) = 0, \forall i \geq 1\}.$$

M. Auslander and I. Reiten, Applications of contravariantly finite subcategories, *Adv. Math.* 86 (1991) 111-152.

## Definition (Auslander and Reiten)

A full subcategory of  $A\text{-mod}$  is *resolving* if it contains projective modules and is closed under isomorphisms, extensions and kernels of epimorphisms.



# Miyashita's duality

Y. Miyashita, Tilting modules of finite projective dimension, *Math. Z.* 193 (1986) 113-146.

## Theorem (Miyashita)

For a tilting bimodule  ${}_A T_B$ , let

$$\mathcal{C} := {}^\perp({}_A T) \cap \mathcal{P}^{<\infty}(A) \text{ and } \mathcal{D} := {}^\perp(T_B) \cap \mathcal{P}^{<\infty}(B^{op}).$$

Then  $\text{Hom}_A(-, T)|_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{D}$  and  $\text{Hom}_{B^{op}}(-, T)|_{\mathcal{D}} : \mathcal{D} \rightarrow \mathcal{C}$  are inverse dualities.

# Huisgen-Zimmermann's correspondence

B. Huisgen-Zimmermann, Dualities from iterated tilting, *Isr. J. Math.* 243 (2021) 315-353.

## Theorem (Huisgen-Zimmermann)

Let  $\mathcal{C} \subseteq A\text{-mod}$  and  $\mathcal{D} \subseteq B^{op}\text{-mod}$  be resolving subcategories. Suppose  $\mathcal{C} \subseteq \mathcal{P}^{<\infty}(A)$ ,  $\mathcal{D} \subseteq \mathcal{P}^{<\infty}(B^{op})$ , and  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  are inverse dualities which are **strictly exact**.

$\implies \exists$  tilting bimodule  ${}_A T_B$  s.t.

- (a)  $F \cong \text{Hom}_A(-, T)|_{\mathcal{C}}$  and  $G \cong \text{Hom}_{B^{op}}(-, T)|_{\mathcal{D}}$ ;
- (b)  $\mathcal{C} = {}^{\perp}({}_A T) \cap \mathcal{P}^{<\infty}(A)$  and  $\mathcal{D} = {}^{\perp}(T_B) \cap \mathcal{P}^{<\infty}(B^{op})$ .

# Full subcategories cogenerated by modules

For  $T \in A\text{-mod}$ , define  $\text{cogen}^*({}_A T) \subseteq A\text{-mod}$ :

$M \in \text{cogen}^*({}_A T) \Leftrightarrow \exists$  exact sequence in  $A\text{-mod}$

$$0 \rightarrow M \rightarrow T_0 \rightarrow T_1 \rightarrow T_2 \rightarrow \cdots$$

with all  $T_i \in \text{add}({}_A T)$  for  $i \geq 0$  s.t. the sequence

$$\cdots \rightarrow \text{Hom}_A(T_2, T) \rightarrow \text{Hom}_A(T_1, T) \rightarrow \text{Hom}_A(T_0, T) \rightarrow \text{Hom}_A(M, T) \rightarrow 0$$

is exact.

Let

$$\mathcal{W}({}_A T) := {}^\perp({}_A T) \cap \text{cogen}^*({}_A T).$$

Then  $T \in \mathcal{W}({}_A T) \Leftrightarrow \text{Ext}_A^i(T, T) = 0, \forall i \geq 1$ .

# Wakamatsu tilting modules

## Definition (Wakamatsu, 1988)

An  $A$ -module  $T$  is called a *Wakamatsu tilting module* if  $A \oplus T \in \mathcal{W}({}_A T)$ .

This is equivalent to the following two conditions:

- (1)  $\text{End}_{B^{\text{op}}}(T) \cong A^{\text{op}}$ , where  $B := \text{End}_A(T)$ ;
- (2)  $\text{Ext}_A^i(T, T) = 0 = \text{Ext}_{B^{\text{op}}}^i(T, T)$  for all  $i \geq 1$ .

- If  ${}_A T$  is Wakamatsu tilting, then  $T_B$  is Wakamatsu tilting. So,  $T$  is also called a *Wakamatsu tilting  $A$ - $B$ -bimodule*.
- A Wakamatsu tilting  $A$ -module  $T$  is tilting  
 $\Leftrightarrow \max\{\text{proj.dim}({}_A T), \text{proj.dim}(T_B)\} < \infty$ .

**Wakamatsu tilting conjecture** [Beligiannis and Reiten, 2007]:

If  ${}_A T$  is Wakamatsu tilting and  $\text{proj.dim}({}_A T) < \infty$ , then it is tilting.

# Wakamatsu's duality

T. Wakamatsu, Tilting modules and Auslander's Gorenstein property, *J. Algebra* 275 (2004) 3-39.

E.L. Green, I. Reiten and Ø. Solberg, Dualities on Generalized Koszul Algebras, *Mem. Amer. Math. Soc.* vol. 159, 2002.

## Theorem (Wakamatsu)

*For a Wakamatsu tilting bimodule  ${}_A T_B$ , the functors  $\text{Hom}_A(-, T) : A\text{-mod} \rightarrow B^{op}\text{-mod}$  and  $\text{Hom}_{B^{op}}(-, T) : B^{op}\text{-mod} \rightarrow A\text{-mod}$  can be restricted to inverse dualities*

$$\mathcal{W}({}_A T) \simeq \mathcal{W}(T_B).$$

# Resolving dualities

$\mathcal{A}, \mathcal{B}$ : abelian categories with enough projective objects;  
 $\mathcal{C} \subseteq \mathcal{A}$  and  $\mathcal{D} \subseteq \mathcal{B}$ : full subcategories.

## Definition

Contravariant additive functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  are called *inverse resolving dualities* if the following hold:

- 1  $\mathcal{C} \subseteq \mathcal{A}$  and  $\mathcal{D} \subseteq \mathcal{B}$  are **resolving** subcategories.  
( $\mathcal{C}$  and  $\mathcal{D}$  contains all projective objects and are closed under isomorphisms, extensions and kernels of epimorphisms.)
- 2 Both of compositions  $G \circ F$  and  $F \circ G$  are isomorphic to the **identity** functors.
- 3  $F$  and  $G$  are **exact** functors between  $\mathcal{C}$  and  $\mathcal{D}$ .  
( $\mathcal{C}$  and  $\mathcal{D}$  are regarded as fully exact subcategories of  $\mathcal{A}$  and  $\mathcal{B}$ , respectively).

# Gorenstein projective modules

## Definition

A module  $X \in A\text{-mod}$  is called *Gorenstein projective* if  $\exists$  exact complex of projective  $A$ -modules

$$P^\bullet : \dots \rightarrow P^{-2} \rightarrow P^{-1} \rightarrow P^0 \xrightarrow{d^0} P^1 \rightarrow P^2 \rightarrow \dots$$

s.t.  $X \cong \text{Im}(d^0)$  and the complex  $\text{Hom}_A^\bullet(P^\bullet, A)$  is also exact.

## Definition

A module  $X \in A\text{-mod}$  is called *semi-Gorenstein-projective* if  $\text{Ext}_A^i(X, A) = 0, \forall i \geq 1$ .

C.M. Ringel and P. Zhang, Gorenstein-projective and semi-Gorenstein-projective modules, *Algebra & Number Theory* 14 (2020) 1-36.

# Resolving subcategories of module categories

For each  $n \in \mathbb{N}$ , define three resolving subcats. of  $A\text{-mod}$ :

- $\mathcal{P}^{\leq n}(A)$ : modules with projective dimension  $\leq n$ ,
- $\mathcal{GP}^{\leq n}(A)$ : modules with Gorenstein-projective dimension  $\leq n$ ,
- $\mathcal{SGP}^{\leq n}(A)$ : modules with semi-Gorenstein-projective dimension  $\leq n$ .

$\mathcal{GP}(A) := \mathcal{GP}^{\leq 0}(A)$ : cat. of Gorenstein-projective  $A$ -modules;

$\mathcal{SGP}(A) := \mathcal{SGP}^{\leq 0}(A)$ : cat. of semi-Gorenstein-projective  $A$ -modules.

In general,  $\mathcal{GP}(A) \subseteq \mathcal{SGP}(A)$ .



# Characterization of resolving dualities

$A, B$ : Artin algebras;

$\mathcal{C} \subseteq A\text{-mod}$ ,  $\mathcal{D} \subseteq B^{op}\text{-mod}$ : full subcategories.

## Theorem

Suppose that  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  are inverse resolving dualities. Then:

(1)  $\exists$  a **Wakamatsu tilting bimodule**  ${}_A T_B$  s.t.

(a)  $F \cong \text{Hom}_A(-, T)|_{\mathcal{C}}$  and  $G \cong \text{Hom}_{B^{op}}(-, T)|_{\mathcal{D}}$ ;

(b)  $\mathcal{C} \subseteq \mathcal{W}({}_A T)$  and  $\mathcal{D} \subseteq \mathcal{W}(T_B)$ .

(2) The bimodule  ${}_A T_B$  is **tilting**  $\Leftrightarrow F$  and  $G$  can be restricted to any one of inverse dualities of the following types:

$\mathcal{C} \cap \mathcal{P}^{<\infty}(A) \simeq \mathcal{D} \cap \mathcal{P}^{<\infty}(B^{op})$ ,  $\mathcal{C} \cap \mathcal{P}^{\leq n}(A) \simeq \mathcal{D} \cap \mathcal{P}^{\leq m}(B^{op})$ ,

$\mathcal{C} \cap \mathcal{GP}^{\leq n}(A) \simeq \mathcal{D} \cap \mathcal{GP}^{\leq m}(B^{op})$ ,  $\mathcal{C} \cap \mathcal{SGP}^{\leq n}(A) \simeq \mathcal{D} \cap \mathcal{SGP}^{\leq m}(B^{op})$ ,

where  $n$  and  $m$  are some natural numbers.

## A key lemma

$$\mathcal{W}({}_A T) := {}^\perp({}_A T) \cap \text{cogen}^*({}_A T)$$

### Lemma

If  ${}_A T$  is a tilting module of projective dimension  $\ell$ , then

$$\mathcal{W}({}_A T) = {}^\perp({}_A T) \cap \mathcal{GP}^{\leq \ell}(A) = {}^\perp({}_A T) \cap \mathcal{GP}^{< \infty}(A),$$

$$\mathcal{W}(T_B) = {}^\perp(T_B) \cap \mathcal{GP}^{\leq \ell}(B^{op}) = {}^\perp(T_B) \cap \mathcal{GP}^{< \infty}(B^{op}).$$

Clearly,  $\mathcal{W}({}_A A) = \mathcal{GP}(A)$ .

# Gorenstein version of Miyachita's duality and Huisgen-Zimmermann's correspondence

## Corollary

(1) If  ${}_A T_B$  is a tilting bimodule with  $\ell := \text{proj.dim}({}_A T)$ , then  $\text{Hom}_A(-, T)$  and  $\text{Hom}_{B^{op}}(-, T)$  can be restricted to inverse resolving dualities

$${}^{\perp}({}_A T) \cap \mathcal{GP}^{\leq \ell}(A) \simeq {}^{\perp}(T_B) \cap \mathcal{GP}^{\leq \ell}(B^{op}).$$

(2) Let  $\mathcal{C} \subseteq A\text{-mod}$  and  $\mathcal{D} \subseteq B^{op}\text{-mod}$  be full subcategories. If there are inverse resolving dualities  $\mathcal{C} \simeq \mathcal{D}$  s.t.  $\mathcal{GP}(A) \subseteq \mathcal{C} \subseteq \mathcal{GP}^{\leq n}(A)$  and  $\mathcal{GP}(B^{op}) \subseteq \mathcal{D} \subseteq \mathcal{GP}^{\leq m}(B^{op})$  for some natural numbers  $n$  and  $m$ , then  $\exists$  a tilting bimodule  ${}_A T_B$  s.t.

$$\mathcal{C} = {}^{\perp}({}_A T) \cap \mathcal{GP}^{\leq \ell}(A) \quad \text{and} \quad \mathcal{D} = {}^{\perp}(T_B) \cap \mathcal{GP}^{\leq \ell}(B^{op})$$

where  $\ell := \text{proj.dim}({}_A T)$ .

# Applications of resolving dualities

## Corollary

Let  ${}_A T_B$  be a tilting bimodule. Then:

- 1 There is a triangle equivalence  $\mathcal{D}(\mathcal{GP}(A)) \simeq \mathcal{D}(\mathcal{GP}(B))$  which restrictes to an equivalence  $\mathcal{D}^*(\mathcal{GP}(A)) \simeq \mathcal{D}^*(\mathcal{GP}(B))$  for any  $* \in \{+, -, b\}$ .
- 2  $K_n(\mathcal{GP}(A)) \simeq K_n(\mathcal{GP}(B))$  for any  $n \in \mathbb{N}$ .

# Applications of resolving dualities

$A$ : a finite-dimensional algebra  $A$  over a finite field.

## Corollary

*If  ${}_A T_B$  is a 1-tilting bimodule, then there is an isomorphism between the semi-derived Ringel-Hall algebra of  $\mathcal{GP}(A)$  and the one of  $\mathcal{GP}(B)$ :*

$$SDH(\mathcal{GP}(A)) \cong SDH(\mathcal{GP}(B)).$$

## Corollary [LW, Corollary A23]

If  ${}_A T_B$  is a 1-tilting bimodule over **1-Gorenstein algebras**  $A$  and  $B$ , then  $SDH(\mathcal{GP}(A)) \cong SDH(\mathcal{GP}(B))$  as algebras.

# (Semi-)derived Ringel-Hall algebras

- [B] T. Bridgeland, Quantum groups via Hall algebras of complexes, *Ann. of Math.* 177 (2013) 739-759.
- [G] M. Gorsky, Semi-derived and derived Hall algebras for stable categories, *Int. Math. Res. Not.* 2018 (2018), 138-159.
- [LP] M. Lu and L.G. Peng, Semi-derived Ringel-Hall algebras and Drinfeld double, *Adv. Math.* 383 (2021) 107668.
- [LW] M. Lu and W.Q. Wang, Hall algebras and quantum symmetric pairs I: foundations, *Proc. Lond. Math. Soc.* 124 (2022) 1-82.

# Semi-derived Ringel-Hall algebras of weakly 1-Gorenstein exact categories

Let  $k$  be a finite field and  $\mathcal{A}$  a small exact category linear over  $k$ .

Definition (Lu and Wang, 2022)

$\mathcal{A}$  is called *weakly Gorenstein* if  $\mathcal{P}^{<\infty}(\mathcal{A}) = \mathcal{I}^{<\infty}(\mathcal{A})$ ;  
*weakly  $d$ -Gorenstein* if it is weakly Gorenstein and  $\mathcal{P}^{<\infty}(\mathcal{A}) = \mathcal{P}^{\leq d}(\mathcal{A}) = \mathcal{I}^{\leq d}(\mathcal{A})$ .

In case  $\mathcal{A}$  is a weakly 1-Gorenstein exact category with finite morphism spaces and finite extension spaces, the *semi-derived Ringel-Hall algebra* of  $\mathcal{A}$  was defined in [LW] (see also [B,G,LP] for some cases) and denoted by  $\mathcal{SDH}(\mathcal{A})$ .

Based on Lu-Wang's construction, we introduce a **new definition** for  $\mathcal{SDH}(\mathcal{A})$  (up to isomorphism of algebras) which behaves better under dualities.

- $\mathcal{GP}(A)$  for  $A$ : finite-dim.  $k$ -algebra;
- $A\text{-mod}$  for  $A$ : finite-dim. **1-Gorenstein**  $k$ -algebra.

# Applications of resolving dualities to establish isomorphisms of semi-derived Ringel-Hall algebras

Set  $\mathcal{A} := {}^\perp({}_A T) \cap \mathcal{GP}^{\leq 1}(A)$  and  $\mathcal{B} := {}^\perp(T_B) \cap \mathcal{GP}^{\leq 1}(B^{op})$ .

$$\begin{array}{ccc}
 \mathcal{GP}(A) & \xrightarrow{\subseteq} & \mathcal{A} \\
 & & \downarrow F \\
 \mathcal{GP}(B) & \xleftarrow[\simeq]{G} \mathcal{GP}(B^{op}) \xrightarrow{\subseteq} & \mathcal{B}.
 \end{array} \tag{1}$$

$$\begin{array}{ccc}
 SD\mathcal{H}(\mathcal{GP}(A)) & \xrightarrow{\tilde{\phi}_A} & SD\mathcal{H}(\mathcal{A}) \\
 & & \downarrow \Upsilon_F \\
 & & (SD\mathcal{H}(\mathcal{B}))^{op} \\
 & & \downarrow (\tilde{\psi}_{B^{op}})^{op} \\
 SD\mathcal{H}(\mathcal{GP}(B)) & \xleftarrow{(\Upsilon_G)^{op}} & (SD\mathcal{H}(\mathcal{GP}(B^{op})))^{op}
 \end{array} \tag{2}$$



# Different methods

$$\mathcal{X} = \{X \in A\text{-mod} \mid \text{Ext}_A^1(T, X) = 0\}, \mathcal{Y} = \{Y \in B\text{-mod} \mid \text{Tor}_1^B(T, Y) = 0\}.$$

Note:  $\mathcal{X} \cong \mathcal{Y}$  of exact categories by the Brenner-Butler tilting theorem.

The proof of [LW, Corollary A23]:

$$SDH(\mathcal{GP}(A)) \cong SDH(A\text{-mod}) \cong SDH(\mathcal{X}) \cong SDH(\mathcal{Y}) \cong SDH(B\text{-mod}) \cong SDH(\mathcal{GP}(B))$$

where  $\mathcal{X}$  and  $\mathcal{Y}$  are weakly 1-Gorenstein exact categories.

Note: for a general algebra  $A$ , the category  $\mathcal{X}$  may **not** be weakly 1-Gorenstein.

Our proof:

$$SDH(\mathcal{GP}(A)) \cong SDH(\mathcal{A}) \cong (SDH(\mathcal{B}))^{op} \cong (SDH(\mathcal{GP}(B^{op})))^{op} \cong SDH(\mathcal{GP}(B)).$$

where  $\mathcal{A} := {}^\perp({}_A T) \cap \mathcal{GP}^{\leq 1}(A)$  and  $\mathcal{B} := {}^\perp(T_B) \cap \mathcal{GP}^{\leq 1}(B^{op})$ .

## More details

- H.X. Chen and J.S. Hu, Resolving dualities and applications to homological invariants, to appear in *Canad. J. Math.*, arXiv:2209.11627.

Thank you very much!