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On F-polynomials for generalized quantum cluster algebras and Gupta's formula

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Outline

- 1 Introduction
- 2 Fock-Goncharov decomposition
- 3 F-polynomial and Gupta's formula

Generalized quantum cluster algebra

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- A *compatible pair* (\tilde{B}, Λ) consists of an integer $m \times n$ -matrix \tilde{B} and a skew-symmetric integer $m \times m$ -matrix Λ such that

$$\tilde{B}^T \Lambda = [D \ 0],$$

where $D = \text{diag}\{d_1^{-1}, \dots, d_n^{-1}\}$ is a diagonal $n \times n$ matrix whose diagonal coefficients are positive integers.

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- The *quantum torus* \mathcal{T}_Λ associated with Λ is the $\mathbb{Z}[q^{\pm \frac{1}{2}}]$ -algebra generated by the distinguished $\mathbb{Z}[q^{\pm \frac{1}{2}}]$ -basis $\{\mathbf{X}(\alpha) \mid \alpha \in \mathbb{Z}^m\}$ with multiplication given by

$$\mathbf{X}(\alpha)\mathbf{X}(\beta) = q^{\frac{1}{2}\alpha^T \Lambda \beta} \mathbf{X}(\alpha + \beta)$$

for any $\alpha, \beta \in \mathbb{Z}^m$. Let \mathcal{F}_Λ be skew field of fractions of \mathcal{T}_Λ .

- The *mutation data* (R, \mathbf{h}) , where $R = \text{diag}\{r_1, \dots, r_n\}$ is a diagonal $n \times n$ matrix whose diagonal coefficients are positive integers and $\mathbf{h} = (\mathbf{h}_1; \dots; \mathbf{h}_n)$, $\mathbf{h}_k := \{h_{k,0}(q^{\frac{1}{2}}), h_{k,1}(q^{\frac{1}{2}}), \dots, h_{k,r_k}(q^{\frac{1}{2}})\}$, where $h_{k,i}(q^{\frac{1}{2}}) \in \mathbb{Z}[q^{\pm\frac{1}{2}}]$ satisfying $h_{k,i}(q^{\frac{1}{2}}) = h_{k,r_k-i}(q^{\frac{1}{2}})$ and $h_{k,0}(q^{\frac{1}{2}}) = h_{k,r_k}(q^{\frac{1}{2}}) = 1$.

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- A (R, \mathbf{h}) -quantum seed is a triple $\Sigma = (X, \tilde{B}, \Lambda)$, where (\tilde{B}, Λ) is a compatible pair and $X = (X_1, \dots, X_m)$ is an m -tuple of elements of \mathcal{F}_Λ such that
 - X_1, \dots, X_m generated \mathcal{F}_Λ over $\mathbb{Q}(q^{\frac{1}{2}})$;
 - $X_i X_j = q^{\lambda_{ij}} X_j X_i$, where $\Lambda = (\lambda_{ij})$

We define $X(\alpha) := q^{\frac{1}{2} \sum_{i < j} \lambda_{ij}} X_1^{a_1} \dots X_m^{a_m}$, where $\alpha = (a_1, \dots, a_m)^T \in \mathbb{Z}^m$.

- Let $k \in [1, n] := \{1, 2, \dots, n\}$.

$$E_{k,\varepsilon}^{\tilde{B}R} := \begin{bmatrix} 1 & 0 & \cdots & [-\varepsilon b_{1k} r_k]_+ & \cdots & 0 \\ 0 & 1 & \cdots & [-\varepsilon b_{2k} r_k]_+ & \cdots & 0 \\ & & & \vdots & & \\ 0 & 0 & \cdots & -1 & \cdots & 0 \\ & & & \vdots & & \\ 0 & 0 & \cdots & [-\varepsilon b_{m-1k} r_k]_+ & \cdots & 0 \\ 0 & 0 & \cdots & [-\varepsilon b_{mk} r_k]_+ & \cdots & 1 \end{bmatrix},$$

$$F_{k,\varepsilon}^{R\tilde{B}} := \begin{bmatrix} 1 & 0 & \cdots & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 & \cdots & 0 \\ & & & \vdots & & \\ [\varepsilon r_k b_{k1}]_+ & [\varepsilon r_k b_{k2}]_+ & \cdots & -1 & \cdots & [\varepsilon r_k b_{kn}]_+ \\ & & & \vdots & & \\ 0 & 0 & \cdots & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & \cdots & 1 \end{bmatrix}$$

where $\varepsilon \in \{1, -1\}$ and $\tilde{B} = (b_{ij})$.

- The *mutation* μ_k in direction k transforms the compatible pair (\tilde{B}, Λ) into $\mu_k(\tilde{B}, \Lambda) := (\tilde{B}', \Lambda')$, where

$$\tilde{B}' = E_{k,\varepsilon}^{\tilde{B}R} \tilde{B} F_{k,\varepsilon}^{R\tilde{B}}, \quad \Lambda' = (E_{k,\varepsilon}^{\tilde{B}R})^T \Lambda E_{k,\varepsilon}^{\tilde{B}R}$$

transforms the quantum cluster $X = (X_1, \dots, X_m)$ into $\mu_k(X) = X' = (X'_1, \dots, X'_m)$ is given by

$$X'_i := X'(e_i) = \begin{cases} X(e_i) & \text{if } i \neq k; \\ \sum_{s=0}^{r_k} h_{k,s}(q^{\frac{1}{2}}) X(s[\varepsilon b_k]_+ + (r_k - s)[- \varepsilon b_k]_+ - e_i) & \text{if } i = k, \end{cases}$$

where b_k is the k -th column vector of \tilde{B} and $\varepsilon \in \{\pm 1\}$, e_1, \dots, e_m is the standard basis of \mathbb{Z}^m . In fact, μ_k is an isomorphism $\mathcal{F}_\Lambda \rightarrow \mathcal{F}_{\Lambda'}$. Moreover the mutation μ_k is an involution. And $(X', \tilde{B}', \Lambda')$ is also a $A(R, \mathbf{h})$ -quantum seed in $\mathcal{F}_{\Lambda'}$.

- Let \mathbb{T}_n be a n -regular tree. Fix a root vertex $t_0 \in \mathbb{T}_n$, $\Sigma_{t_0} = (X, \tilde{B}, \Lambda)$ the initial (R, \mathbf{h}) -quantum seed. We assign each vertex $t \in \mathbb{T}_n$ an (R, \mathbf{h}) -quantum seed Σ_t which can be obtained from Σ_{t_0} by iterated mutations such that if $t \xrightarrow{k} t'$, then $\Sigma_{t'} = \mu_k(\Sigma_t)$. We call such an assignment $t \rightarrow \Sigma_t$ an (R, \mathbf{h}) -quantum seed pattern.

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- For each vertex t , we refer to $X_t = (X_{1;t}, \dots, X_{m;t})$ a quantum cluster, $X_{i;t} (1 \leq i \leq n)$ quantum cluster variables and $X_{n+i;t} (1 \leq i \leq m-n)$ coefficients.

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- Let $\mathcal{X}_q := \{X_{i;t} | 1 \leq i \leq n\}$, the (R, \mathbf{h}) -quantum cluster algebra $\mathcal{A}_q(\Sigma_{t_0})$ is the $\mathbb{Z}[q^{\pm \frac{1}{2}}][X_{n+1}^{\pm 1}, \dots, X_m^{\pm 1}]$ subalgebra of $\mathcal{F}_q := \mathcal{F}_{\Lambda_{t_0}}$ generated by elements of \mathcal{X}_q .

Laurent phenomenon

- Bai, Chen, Ding, and Xu prove the Laurent phenomenon for Generalized quantum cluster algebras.

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$$X_{i,t} \in \mathbb{Z}[q^{\pm \frac{1}{2}}][X_1^{\pm 1}, \dots, X_m^{\pm 1}],$$

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- Moreover The F -polynomial and separation formula for cluster algebras have played key roles not only in the structure theory of cluster algebras but also in the categorification of cluster algebras.

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- We aim to prove the existence of F -polynomial and establish the separation formula for generalized quantum cluster algebras. Moreover we will give a computing method for F -polynomial using c -vectors and g -vectors.

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Notation:

- For the edge $t \xrightarrow{k} t'$ in \mathbb{T}_n . The mutation μ_k in direction k yields a unique $\mathbb{Z}[q^{\pm\frac{1}{2}}]$ -algebra isomorphism $\mu_{k;t} : \mathcal{F}_{\Lambda_{t'}} \rightarrow \mathcal{F}_{\Lambda_t}$ such that

$$\mu_{k;t}(X_{t'}(e_i)) = \begin{cases} X_t(e_i) & \text{if } i \neq k; \\ \sum_{s=0}^{r_k} h_{k,s}(q^{\frac{1}{2}}) X_t(s[\varepsilon b_k]_+ + (r_k - s)[- \varepsilon b_k]_+ - e_i) & \text{if } i = k. \end{cases}$$

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$$\left(\sum_{s=0}^{r_k} h_{k,s}(q^{\frac{1}{2}}) (q^{\frac{b}{2}} z)^s \right) \{a\} := \begin{cases} \prod_{i=1}^a \left(\sum_{s=0}^{r_k} h_{k,s}(q^{\frac{1}{2}}) (q^{\frac{b(2i-1)}{2}} z)^s \right) & \text{if } a > 0; \\ 1 & \text{if } a = 0; \\ \prod_{i=a}^{-1} \left(\sum_{s=0}^{r_k} h_{k,s}(q^{\frac{1}{2}}) (q^{\frac{b(2i+1)}{2}} z)^s \right)^{-1} & \text{if } a < 0. \end{cases}$$

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- $\hat{Y}_t(\alpha) := X_t(\tilde{B}_t \alpha)$, $\alpha \in \mathbb{Z}^n$. We also denote $\hat{Y}_{k;t} := \hat{Y}_t(f_k)$, $k \in [1, n]$, where f_1, \dots, f_n is the standard basis of \mathbb{Z}^n .

For edge $t \xrightarrow{k} t'$ in \mathbb{T}_n and $k \in [1, n]$, we have two types of $\mathbb{Z}[q^{\pm \frac{1}{2}}]$ -algebras isomorphisms.:



$$\psi_{k;t}(\widehat{Y}_t^\alpha) : \mathcal{F}_{\Lambda_t} \rightarrow \mathcal{F}_{\Lambda_t}$$

$$X_t(\beta) \mapsto X_t(\beta) \left(\sum_{s=0}^{r_k} h_{k,s}(q^{\frac{1}{2}}) (q^{\frac{1}{2d_k}} \widehat{Y}_t^\alpha)^s \right)^{-\{d_k(\bar{\beta}, \alpha)_D\}},$$

where $\bar{\beta}$ is the first n entries of $\beta \in \mathbb{Z}^m$, $\alpha \in \mathbb{Z}^n$.

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Proposition 2.1

For an edge $t \xrightarrow{k} t'$ in \mathbb{T}_n and $\varepsilon \in \{\pm 1\}$, we have

$$\mu_{k;t} = \psi_{k;t}(\widehat{Y}_{k;t}^\varepsilon)^\varepsilon \circ \phi_{k;t;\varepsilon}.$$

- C-matrices $C_t = (c_{ij;t})$:
 - $C_{t_0} = I_n$;
 - If $t \xrightarrow{k} t' \in \mathbb{T}_n$, then

$$c_{ij;t'} = \begin{cases} -c_{ij;t} & \text{if } j = k; \\ c_{ij;t} + r_k(c_{ik;t}[\varepsilon b_{kj;t}]_+ + [-\varepsilon c_{ik;t}]_+ b_{kj;t}) & \text{if } j \neq k; \end{cases}$$

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- G-matrices $\tilde{G}_t = (\tilde{\mathbf{g}}_{1;t}, \dots, \tilde{\mathbf{g}}_{m;t})$:
 - $G_{t_0} = I_m$;
 - If $t \xrightarrow{k} t' \in \mathbb{T}_n$, then

$$\tilde{\mathbf{g}}_{i;t'} = \begin{cases} \tilde{\mathbf{g}}_{i;t} & \text{if } i \neq k; \\ -\tilde{\mathbf{g}}_{k;t} + r_k(\sum_{j=1}^m [-b_{jk;t}]_+ \tilde{\mathbf{g}}_{j;t} - \sum_{j=1}^n [-c_{jk;t}]_+ \mathbf{b}_{j;t_0}) & \text{if } i = k. \end{cases}$$

- The following identities hold for G - and C -matrices:

$$\mathbf{c}_{i;t}^T [D \quad 0] \tilde{\mathbf{g}}_{j;t} = (\mathbf{c}_{i;t}, \mathbf{g}_{j;t})_D = d_i^{-1} \delta_{ij}, \text{ for } i, j \in [1, n], t \in \mathbb{T}_n,$$
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And they are the key to proving the Proposition 2.2.

- For each $t \in \mathbb{T}_n$, we have a

$$\text{path in } \mathbb{T}_n \mathbf{i} : t_0 \xrightarrow{i_1} t_1 \xrightarrow{i_2} t_2 \xrightarrow{i_3} \dots \xrightarrow{i_k} t_k = t,$$

$$\text{subpath in } \mathbb{T}_n \mathbf{i}_j : t_0 \xrightarrow{i_1} t_1 \xrightarrow{i_2} t_2 \xrightarrow{i_3} \dots \xrightarrow{i_j} t_j, \text{ for } j \in [1, k].$$

$\varepsilon_j :=$ the common sign of components of $\mathbf{c}_{i_j; t_{j-1}}$ and $\mathbf{c}_j^+ := \varepsilon_j \mathbf{c}_{i_j; t_{j-1}}$ for $j \in [1, k]$.

Now define

$$\mu_{t_k}^{t_0} := \mu_{i_1;t_0} \circ \mu_{i_2;t_1} \circ \cdots \mu_{i_k;t_{k-1}} : \mathcal{F}_{\Lambda_{t_k}} \rightarrow \mathcal{F}_{\Lambda_{t_0}}.$$

For each $j \in [1, k]$, we also set

$$\begin{aligned} \psi(\mathbf{i}_j) &:= \psi_{i_1;t_0}(\widehat{\mathbf{Y}}_{t_0}^{\mathbf{c}_1^+})^{\varepsilon_1} \circ \psi_{i_2;t_0}(\widehat{\mathbf{Y}}_{t_0}^{\mathbf{c}_2^+})^{\varepsilon_2} \circ \cdots \circ \psi_{i_j;t_0}(\widehat{\mathbf{Y}}_{t_0}^{\mathbf{c}_j^+})^{\varepsilon_j} : \mathcal{F}_{\Lambda_{t_0}} \rightarrow \mathcal{F}_{\Lambda_{t_0}} \\ \phi_{t_j}^{t_0} &:= \phi_{i_1;t_0;\varepsilon_1} \circ \phi_{i_2;t_1;\varepsilon_2} \circ \cdots \circ \phi_{i_j;t_{j-1};\varepsilon_j} : \mathcal{F}_{\Lambda_{t_j}} \rightarrow \mathcal{F}_{\Lambda_{t_0}}. \end{aligned}$$

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Keep the notation as above, we have

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Key: $\phi_{t_j}^{t_0} \circ \psi_{i_{j+1};t_j}(\widehat{Y}_{i_{j+1};t_j}^{\varepsilon_{j+1}})^{\varepsilon_{j+1}} = \psi_{i_{j+1};t_0}(\widehat{Y}_{t_0}^{\mathbf{c}_{j+1}^+})^{\varepsilon_{j+1}} \circ \phi_{t_j}^{t_0}.$

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- 3 F-polynomial and Gupta's formula**

Notation

For simplicity of notation, we also denote by $d_{(j)} = d_{i_j}$, $r_{(j)} = r_{i_j}$, $\mathbf{c}_j = \mathbf{c}_{i_j; t_{j-1}}$, $\mathbf{c}_j^+ = \varepsilon_j \mathbf{c}_j$, $\hat{\mathbf{c}}_j^+ = B \mathbf{c}_j^+$, $\tilde{\mathbf{g}}_j = \tilde{\mathbf{g}}_{i_j; t_j}$, $\mathbf{g}_j = \overline{\tilde{\mathbf{g}}_j}$.

We first define a set of elements $\{L_{i,j} \mid i, j \in [1, k]\}$ of $\mathcal{F}_{\Lambda_{t_0}}$ by the initial condition

$$L_{1,i} := \widehat{Y}_{t_0}^{\mathbf{c}_1^+} \left(\sum_{s=0}^{r_{(1)}} h_{i_1,s} (q^{\frac{1}{2}}) (q^{\frac{1}{2d_{(1)}}} \widehat{Y}_{t_0}^{\mathbf{c}_1^+})^s \right)^{-\varepsilon_1 \{(d_{(1)} \mathbf{c}_1^+, \hat{\mathbf{c}}_1^+)_{D}\}} \text{ for } i \in [1, k]$$

with recurrence relations: for $j \in [1, n]$,

$$L_{j+1,i} = L_{j,i} \left(\sum_{s=0}^{r_{(j+1)}} h_{i_{j+1},s} (q^{\frac{1}{2}}) (q^{\frac{1}{2d_{(j+1)}}} L_{j,j+1})^s \right)^{-\varepsilon_{j+1} \{(d_{(j+1)} \mathbf{c}_{j+1}^+, \hat{\mathbf{c}}_i^+)_{D}\}}.$$

Then set

$$L_1 = \sum_{s=0}^{r_{(1)}} h_{i_1,s} (q^{\frac{1}{2}}) (q^{\frac{1}{2d_{(1)}}} \widehat{Y}_{t_0}^{\mathbf{c}_1^+})^s,$$

$$L_{j+1} = \sum_{s=0}^{r_{(j+1)}} h_{i_{j+1},s} (q^{\frac{1}{2}}) (q^{\frac{1}{2d_{(j+1)}}} L_{j,j+1})^s, j \in [1, k-1].$$

Calculating $X_{t_0}(-\tilde{\mathbf{g}}_k)\mu_{t_k}^{t_0}(X_{i_k;t_k})$, we have that

$$\begin{aligned} X_{t_0}(-\tilde{\mathbf{g}}_k)\mu_{t_k}^{t_0}(X_{i_k;t_k}) &= X_{t_0}(-\tilde{\mathbf{g}}_k)\psi(\mathbf{i}_1)(X_{t_0}(\tilde{\mathbf{g}}_k))\psi(\mathbf{i}_1)(X_{t_0}(-\tilde{\mathbf{g}}_k))\psi(\mathbf{i}_2)(X_{t_0}(\tilde{\mathbf{g}}_k)) \\ &\quad \cdots \psi(\mathbf{i}_{k-1})(X_{t_0}(-\tilde{\mathbf{g}}_k))\psi(\mathbf{i}_k)(X_{t_0}(\tilde{\mathbf{g}}_k)) \\ &= L_1^{-\varepsilon_1\{(\mathbf{g}_k, d_{(1)}\mathbf{c}_1^+)\}_D} L_2^{-\varepsilon_2\{(\mathbf{g}_k, d_{(2)}\mathbf{c}_2^+)\}_D} \cdots L_k^{-\varepsilon_k\{(\mathbf{g}_k, d_{(k)}\mathbf{c}_k^+)\}_D}. \end{aligned}$$

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Lemma 3.1

Keep the notation as above. We have

$$X_{t_0}(-\tilde{\mathbf{g}}_k)\mu_{t_k}^{t_0}(X_{i_k;t_k}) = \prod_{j \in [1, k]}^{\rightarrow} L_j^{-\varepsilon_j\{d_{(j)}(\mathbf{c}_j^+, \mathbf{g}_k)_{D}\}}.$$

Remark 3.2

The above Product as a rational polynomial in \hat{Y}_{t_0} only depends on the principal part of the exchange matrix \tilde{B} .

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Definition 3.3

The element $F_{i_k, t_k} := \prod_{j \in [1, k]}^{\rightarrow} L_j^{-\varepsilon_j \{d_{(j)}(\mathbf{c}_j^+, \mathbf{g}_k)^D\}}$ is called the F -polynomial of $X_{t_k}(e_{i_k})$ whenever $F_{i_k; t_k}$ is a polynomial.

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Theorem 3.4

- (1) The element $F_{i_k; t_k}$ is a Laurent polynomial in $\hat{Y}_{1; t_0}, \dots, \hat{Y}_{n; t_0}$.
- (2) Suppose that $h_{i, s}(1) > 0$ for each $i \in [1, n]$ and $s \in [1, r_i - 1]$, then $F_{i_k; t_k}$ is a polynomial in $\hat{Y}_{1; t_0}, \dots, \hat{Y}_{n; t_0}$.

We give a brief proof for Theorem 3.4: Observing the definition of $L_i, i \in [1, k]$, there are two polynomials $A(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0}), P(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0})$ with coefficients in $\mathbb{N}[q^{\pm \frac{1}{2}}]$ for $h_{i,s}(q^{\frac{1}{2}}), 1 \leq i \leq n, 1 \leq s \leq r_i$ (as variables) and $\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0}$ satisfying the following equation

$$F_{i_k;t_k}(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0}) = A(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0})P(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0})^{-1}.$$

Then using Newton polytope for Laurent polynomials in $X_{1;t_0}, \dots, X_{2n;t_0}$ of this equation. We will get that $F_{i_k;t_k}(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0})$ also is a Laurent polynomial in $\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0}$. Moreover in a mild condition $h_{i,s}(1) > 0$ for $i \in [1, n]$ and $s \in [1, r_i - 1]$. Setting $q^{\frac{1}{2}} = 1$ does not shrink $\text{New}(F_{i_k;t_k}(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0}))$.

$$\begin{aligned} \text{New}(F_{i_k;t_k}(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0})) &= \text{New}(F_{i_k;t_k}(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0})|_{q^{\frac{1}{2}}=1}) \\ &= \text{New}(F_{i_k;t_k}(\widehat{y}, z)|_{z_{i,s}=h_{i,s}(1), i \in [1, n], s \in [1, r_i - 1]}), \end{aligned}$$

where $F_{i_k;t_k}(y, z)$ is the F -polynomial of the cluster variable $x_{i_k;t_k}$ of the corresponding generalized cluster algebra with principal coefficients. Thus $\text{New}(F_{i_k;t_k}(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0}))$ does not contain any points with negative coordinates. It follows that $F_{i_k;t_k}(\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0})$ is a polynomial in $\widehat{Y}_{1;t_0}, \dots, \widehat{Y}_{n;t_0}$.

Theorem 3.5 (Separation formula)

Suppose that $h_{i,s}(1) > 0$ for each $i \in [1, n]$ and $s \in [1, r_i - 1]$. For each $i \in [1, n]$ and $t \in \mathbb{T}_n$, let $F_{i;t}[Z_1, \dots, Z_n]$ be the associated F -polynomial of $X_{i;t}$ and $\tilde{\mathbf{g}}_{i;t}$ the g -vector of $X_{i;t}$. We have

$$X_{i;t} = X_{t_0}(\tilde{\mathbf{g}}_{i;t})F_{i;t}(\hat{Y}_{1;t_0}, \dots, \hat{Y}_{n;t_0}).$$

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$$X_{i;t} = X_{t_0}(\tilde{\mathbf{g}}_{i;t})F_{i;t}(\hat{Y}_{1;t_0}, \dots, \hat{Y}_{n;t_0}).$$

Remark 3.6

We call the equation $F_{i_k, t_k} := \prod_{j \in [1, k]} L_j^{-\varepsilon_j \{d_{(j)}(\mathbf{c}_j^+, \mathbf{g}_k)_D\}}$ Gupta's formula for F -polynomials of generalized quantum cluster algebras. When $R = I_n$, it specializes to Gupta's formula for quantum cluster algebras.

Thanks for your attention!